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Docket No. 204026US68PCT

1723
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Howard E. PURDUM

SERIAL NO: 09/805,770 -

GAU: 1723

FILED: June 25, 2001

EXAMINER: KIM, S.

FOR: METHODS AND APPARATUS FOR PROCESSING TEMPERATURE SENSITIVE MATERIALS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☒ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

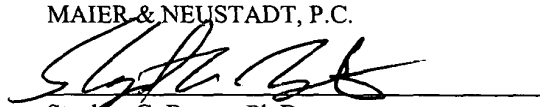
- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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MAIER & NEUSTADT, P.C.


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DOCKET NO.: 204026US68PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

HOWARD E. PURDUM

SERIAL NO.: 09/805,770

GROUP ART UNIT: 1723

FILING DATE: JUNE 25, 2001

EXAMINER: KIM, S.

FOR: METHODS AND APPARATUS FOR PROCESSING TEMPERATURE
SENSITIVE MATERIALS

STATEMENT OF RELEVANCY

The following references listed in Form 1449 are discussed in the specification:

AA US 5,139,496

AB US 5,833,861

AC US 5,880,265

AW HARDY, W.B.

AX SOKLOV, I.T.

AY LOCK, G.S.H.



LIST OF RELATED CASES

RECEIVED
AUG 05 2003
TC 100

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
10484-0003-68	10/119,907	04/11/02	PURDUM
204026US*	09/805,770	06/25/01	PURDUM

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 204026US68PCT		SERIAL NO. 09/805,770	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Howard E. PURDUM			
				FILING DATE June 25, 2001		GROUP 1723	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,139,496	08/18/92	HED			
	AB	5,833,861	11/10/98	AFEYAN, et al.			
	AC	5,880,265	03/09/99	FISCHER, et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	HARDY, W.B., <u>PROCEEDINGS OF THE ROYAL SOCIETY OF LONDON</u> , vol. 112, pp. 47-61 (1926).					
	AX	SOKLOV, I.T., <u>JOURNAL OF TECHNICAL PHYSICS</u> , vol. 8, no. 10, pp. 901-902 (1938).					
	AY	LOCK, G.S.H., "The growth and decay of ice", Cambridge University Press, Cambridge, pp. 305-308 (1990).					
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							